B/A

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Docket No.: PZ007G62YP1D

Ruben et al. (As Amended)

Application No.: 10/004,860 \

Confirmation No.: 8803

Filed: December 7, 2001

Art Unit: 1653

For: Secreted Protein HEMAE80 (as amended)

Examiner: R.A. Wax

# SECOND REQUEST FOR AMENDMENT UNDER 37 C.F.R. §§ 1.312 AND 1.48(b)

Commissioner for Patents P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to issuance of the above-captioned application as a United States Patent, Applicants respectfully request consideration and entry of the present amendment (submitted after allowance pursuant to 37 C.F.R. §1.312) to correct inventorship pursuant to 37 C.F.R. § 1.48(b) (Non-provisional application – fewer inventors due to amendment or cancellation of claims). Applicants submit concurrently herewith: (a) Supplemental Application Data Sheet (to reflect the requested amendment of inventorship (see below)); (b) copy of Corrected Filing Receipt showing requested changes in red; and, (c) Fee Transmittal (in duplicate, with fee required under 37 C.F.R. § 1.48(b)(2)).

The allowed claims in the present application are drawn to HEMAE80 protein embodiments (i.e., SEQ ID NO:310 and HEMAE80 protein encoded by ATCC® Deposit Nos. 97975). In this regard, the undersigned has been informed that the inventive entity of the subject matter encompassed by the claims is: Craig A. Rosen, Steven M. Ruben, and Paul A. Moore. Accordingly, Applicants request that the presently pending application be amended to show the above three persons as inventors. Thus, please remove the following names from the list of inventors:

Paul Young; John M. Greene; Ann M. Ferrie; Jing-Shan Hu; Henrik S. Olson; Reinhard Ebner; Laurie A. Brewer; Yanggu Shi; Charles Florence; Kimberly Florence; David W. Lafleur; Jian Ni; Ping Fan; Ying-Fei Wei; Carrie L. Fischer; Daniel R. Soppet; Yi Li; Zhizhen Zeng; Hla Kyaw; Ping Feng; Patrick J. Dillon; Gregory A. Endress; Guo-Liang Yu; and Kenneth G. Carter.

Applicants respectfully request that the amendments made above be entered and made of record in the file history of the instant application prior to issuance as a United States Patent. Although no fee, in addition to that provided for above, is believed due for submission of this paper, should the Patent Office determine otherwise, please charge such fee to Applicant's Deposit Account No. 08-3425. The Patent Office is also authorized to credit any overpayment to Deposit Account No. 08-3425.

Dated: September 13, 2004

Respectfully submitted,

Kenley K. Hoover, Ph.D.

Registration No.: 40,302

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14200 Shady Grove Road Rockville, Maryland 20850

(301) 354-3932

KKH/PF/mr



#### **Application Information**

Application Type:: Regular

Subject Matter:: Utility

Sequence submission?:: Paper

Computer Readable Form (CRF)?:: No

Title:: Human Secreted Proteins Secreted Protein

HEMAE80

Attorney Docket Number:: PZ007G62YP1D1

Small Entity?:: No

Petition included?:: No

## **Applicant Information**

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

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Applicant Authority Type:: Inventor

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Applicant Authority Type:: Inventor
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Applicant Authority Type:: Inventor

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Applicant Authority Type:: Inventor

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Status:: Full Capacity

Given Name:: Charles
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Country of Residence:: US

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Country of mailing address:: US

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Applicant Authority Type:: Inventor

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Status:: Full Capacity

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Country of mailing address:: US

Primary Citizenship Country:: US

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Family Name:: Lafleur

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Applicant Authority Type:: Inventor

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Status:: Full Capacity

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Status:: Full Capacity

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Applicant Authority Type:: Inventor
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Status:: Full Capacity

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Applicant Authority Type:: Inventor

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Status:: Full-Capacity

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Country of mailing address:: US

Primary Citizenship Country:: US

Status:: Full-Capacity

Given Name:: Patrick

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Applicant Authority Type:: Inventor

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#### **Correspondence Information**

Correspondence Customer Number:: 22195

#### **Representative Information**

Representative Customer Number:: 22195

#### **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Division of	09/205,258	12/04/98
09/205,258	Continuation-in-part of	PCT/US98/11422	06/04/98
PCT/US98/11422	Non- Provisional of	60/048,885	06/06/97
PCT/US98/11422	Non- Provisional of	60/049,375	06/06/97
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PCT/US98/11422	Non- Provisional of	60/057,662	09/05/97
PCT/US98/11422	Non- Provisional of	60/057,646	09/05/97
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PCT/US98/11422	Non- Provisional of	60/070,923	12/18/97
PCT/US98/11422	Non- Provisional of	60/092,921	07/15/98
PCT/US98/11422	Non- Provisional of	60/094,657	07/30/98

# **Assignee Information**

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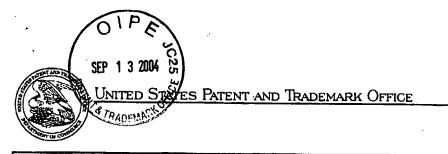
State or Province of mailing address:: MD

Country of mailing address:: USA

PTO/SB/17 (10-03) Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 10/004,860-Conf. #8803 Application Number December 7, 2001 for FY 2004 Filing Date First Named Inventor Steven M. Ruben Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** R. A. Wax Applicant claims small entity status. See 37 CFR 1.27 1653 Art Unit PZ007G62YP1D1 Attorney Docket No. **TOTAL AMOUNT OF PAYMENT** METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Check None Orde x Deposit Account: **Large Entity** Small Entity Deposit 08-3425 Fee Fee Description Number Code (\$) Code (\$) Fee Paid Deposit 1051 130 2051 65 Surcharge - late filing fee or oath Account Human Genome Sciences, Inc. Surcharge - late provisional filing fee or cover 1052 50 2052 25 The Director is authorized to: (check all that apply) X Credit any overpayments X Charge fee(s) indicated below 1053 130 1053 130 Non-English specification X Charge any additional fee(s) or any underpayment of fee(s) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Requesting publication of SIR prior to 9201 920\* 1804 1804 Charge fee(s) indicated below, except for the filing fee Examiner action Requesting publication of SIR after to the above-identified deposit account. 1,840\* 1805 1,840\* 1805 Examiner action **FEE CALCULATION** 1251 110 2251 55 Extension for reply within first month 1. BASIC FILING FEE 1252 420 2252 Extension for reply within second month Large Entity Small Entity 1253 950 2253 475 Extension for reply within third month Fee Fee Description Fee Paid 1254 1,480 2254 Extension for reply within fourth month (\$) (\$) Code 1001 770 2001 385 Utility filing fee 1255 2,010 2255 1,005 Extension for reply within fifth month 2002 340 Design filing fee 330 1401 165 Notice of Appeal

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1003	530	2003	265	Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1004	770	2004	385	Reissue filing fee		1403	290	2403	145	Request for oral hearing	
1005	160	2005	80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
			elib	TOTAL (4) (5)	$\equiv$	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 0.00			1453	1,330	2453	665	Petition to revive - unintentional				
2. EX	TRA	CLAIN	FEE	S FOR UTILITY AND REISS	UE	1501	1,330	2501	665	Utility issue fee (or reissue)	
				Extra Fee from Claims below Fee P	aid	1502	480	2502	240	Design issue fee	
Total C	laims		-20**	= x =		1503	640	2503	320	Plant issue fee	
Indeper	ndent	dent -3** = x =			1460	130	1460	130	Petitions to the Commissioner	130.00	
Multiple	Deper	ndent		=		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity			1806	180	1806	180	Submission of Information Disclosure Stmt				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 1201	18 86	2202 2201	9 43	Claims in excess of 20 Independent claims in excess of 3		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203	290	2203	145	Multiple dependent claim, if not paid		1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1204	86	2204	43	** Reissue independent claims		1801	770	2801	385	Request for Continued Examination (RCE)	
1205	18	2205	9	over original patent ** Reissue claims in excess of 20		1802	900	1802	900	Request for expedited examination of a design application	
				and over original patent		Other t	ee (spe	cify)	1504		
				BTOTAL (2) (\$) 0.0		*Redu	ced by E	Basic Fil	ing Fee	Paid SUBTOTAL (3) (\$)	130.00
**or n	umber j	previous	ly paid	d, if greater; For Reissues, see above	•	I					

SUBMITTED BY	(Complete	(Complete (if applicable))			
Name (Print/Type) Kenley K. Hoover Registration No. (Attorney/Agent) 40,302	Telephone	(301) 610-5771			
Signature Sent Coffee	Date	September 13, 2004			



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virguis 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	
10/004,860	12/07/2001	1653	2204	PZ007G62YP1D1		52	10	1

22195 **HUMAN GENOME SCIENCES INC** INTELLECTUAL PROPERTY DEPT. 14200 SHADY GROVE ROAD ROCKVILLE, MD 20850

**CONFIRMATION NO. 8803** CORRECTED FILING RECEIPT \*OC000000013604164\* \*OC000000013604164\*

Date Mailed: 08/23/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Steven M. Ruben, Olney, MD; Craig A. Rosen, Laytonsville, MD: Paul A. Moore, Germantown, MD; Cuo Liang Yu, Borkeley, CA; Kenneth C. Carter, North Potomac, MD

## Assignment For Published Patent Application

Human Genome Sciences, Inc., Rockville, MD:

#### Domestic Priority data as claimed by applicant

This application is a DIV of 09/205,258 12/04/1998 PAT 6,525,174 which is a CIP of PCT/US98/11422 06/04/1998 which claims benefit of 60/048,885 06/06/1997 and claims benefit of 60/049,375 06/06/1997 and claims benefit of 60/048,881 06/06/1997 and claims benefit of 60/048,880 06/06/1997 and claims benefit of 60/048,896 06/06/1997 and claims benefit of 60/049,020 06/06/1997 and claims benefit of 60/048,876 06/06/1997 and claims benefit of 60/048 895 06/06/1997 and claims benefit of 60/048,884 06/06/1997 and claims benefit of 60/048,894 06/06/1997 and claims benefit of 60/048,971 06/06/1997 and claims benefit of 60/048,964 06/06/1997 and claims benefit of 60/048,882 06/06/1997

and claims benefit of 60/048,899 06/06/1997 and claims benefit of 60/048,893 06/06/1997 and claims benefit of 60/048,900 06/06/1997 and claims benefit of 60/048,901 06/06/1997 and claims benefit of 60/048,892 06/06/1997 and claims benefit of 60/048,915 06/06/1997 and claims benefit of 60/049,019 06/06/1997 and claims benefit of 60/048,970 06/06/1997 and claims benefit of 60/048,972 06/06/1997 and claims benefit of 60/048,916 06/06/1997 and claims benefit of 60/049,373 06/06/1997 and claims benefit of 60/048,875 06/06/1997 and claims benefit of 60/049,374 06/06/1997 and claims benefit of 60/048,917 06/06/1997 and claims benefit of 60/048,949 06/06/1997 and claims benefit of 60/048,974 06/06/1997 and claims benefit of 60/048,883 06/06/1997 and claims benefit of 60/048,897 06/06/1997 and claims benefit of 60/048,898 06/06/1997 and claims benefit of 60/048,962 06/06/1997 and claims benefit of 60/048,963 06/06/1997 and claims benefit of 60/048,877 06/06/1997 and claims benefit of 60/048,878 06/06/1997 and claims benefit of 60/057,645 09/05/1997 and claims benefit of 60/057,642 09/05/1997 and claims benefit of 60/057,668 09/05/1997 and claims benefit of 60/057,635 09/05/1997 and claims benefit of 60/057,627 09/05/1997 and claims benefit of 60/057,667 09/05/1997 and claims benefit of 60/057,666 09/05/1997 and claims benefit of 60/057,764 09/05/1997 and claims benefit of 60/057,643 09/05/1997 and claims benefit of 60/057,769 09/05/1997 and claims benefit of 60/057,763 09/05/1997 and claims benefit of 60/057,650 09/05/1997 and said PCT/US98/11422 claims benefit of 60/057,584 09/05/1997 and claims benefit of 60/057,647 09/05/1997 and claims benefit of 60/057,661 09/05/1997 and claims benefit of 60/057,662 09/05/1997 and claims benefit of 60/057,646 09/05/1997 and claims benefit of 60/057,654 09/05/1997 and claims benefit of 60/057,651 09/05/1997 and claims benefit of 60/057,644 09/05/1997 and claims benefit of 60/057,765 09/05/1997 and claims benefit of 60/057,762 09/05/1997 and claims benefit of 60/057,775 09/05/1997 and claims benefit of 60/057,648 09/05/1997 and claims benefit of 60/057,774 09/05/1997 and claims benefit of 60/057,649 09/05/1997 and claims benefit of 60/057,770 09/05/1997 and claims benefit of 60/057,771 09/05/1997 and claims benefit of 60/057,761 09/05/1997 and claims benefit of 60/057,760 09/05/1997 and claims benefit of 60/057,776 09/05/1997 and claims benefit of 60/057,778 09/05/1997 and claims benefit of 60/057,629 09/05/1997 and claims benefit of 60/057,628 09/05/1997 and claims benefit of 60/057,777 09/05/1997 and claims benefit of 60/057,634 09/05/1997

and claims benefit of 60/070,923 12/18/1997 and claims benefit of 60/092,921 07/15/1998 and claims benefit of 60/094,657 07/30/1998

Foreign Applications

If Required, Foreign Filing License Granted: 05/15/2002

Projected Publication Date: Not Applicable

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Early Publication Request: No

Title

SECRETED PROTEIN HEMAE80

**Preliminary Class** 

435

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